



Wyoming Secretary of State

Compliance Division
Herschler Building East
122 West 25th Street, Suite 100
Cheyenne, WY 82002-0020
Ph. 307.777.7370
Email: Investing@wyo.gov

For Office Use Only

Investing Complaint Form

Please complete and mail or email this form to the Wyoming Compliance Division with any relevant information attached. All information provided is confidential.

SECTION 1: Personal Information

Name: _____ Date of Birth: _____

Address: _____

Email Address: _____ Phone Number: _____

Investment Experience: _____

SECTION 2: Investment Information

Name of Sales Person/Agent: _____

Firm/Company: _____

Firm/Company Address: _____

Type of Investment: _____

Date of Investment: _____

Total Amount of Money Invested: \$ _____ Cash/Check/Money Order

(Other) _____

How did you learn about the investment: (personal visit, phone solicitation, mailing, etc...?)

Section 3: Complaint Details

Summarize your complaint details:

Name: _____ Date: _____

Signature _____