



Wyoming Secretary of State

State Capitol Building, Compliance Division
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Cheyenne, WY 82002-0020
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For Office Use Only

Investing Complaint Form

Please complete and mail or email this form to the Wyoming Compliance Division with any relevant information attached. All information provided is confidential.

SECTION 1: Personal Information

Name: Date of Birth:

Address:

Email Address: Phone Number:

Investment Experience:

SECTION 2: Investment Information

Name of Sales Person/Agent:

Firm/Company:

Firm/Company Address:

Type of Investment:

Date of Investment:

Total Amount of Money Invested: \$ Cash/Check/Money Order

\$ (Other)

How did you learn about the investment: (personal visit, phone solicitation, mailing, etc...?)

Section 3: Complaint Details

Summarize your complaint details:

Name:

Date:

Signature _____